Reliance Capital Asset Management Limited
A Reliance Capital Company

RELIANCE Mutual Fund

RELIANCE RETIREMENT FUND APPLICATION FORM

(An open ended notified tax savings cum pension scheme with no assured returns)

APP No.:

1. DISTRIBUTOR / BROKER INFORMATION (Refer Instruction No. I.9)

Name & Broker Code / ARN	Sub Broker / Sub Agent ARN Code	*Employee Unique Identification Number	Sub Broker / Sub Agent Code
ARN-3852		E029058	

***Please sign alongside in case the EUIN is left blank/not provided.**

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE First / Sole Applicant / Guardian

SIGN HERE Second Applicant

SIGN HERE Third Applicant

(Please tick (✓)any one) ○ **I am a First time investor across Mutual Funds** **OR** ○ **I am an existing investor in Mutual Funds**

2. UNITHOLDING OPTION - ○ DEMAT MODE ○ PHYSICAL MODE

DEMAT ACCOUNT DETAILS - These details are compulsory if the investor wishes to hold the units in DEMAT mode. Ref. Instruction No. X.

Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.

National Securities Depository Limited
Depository participant Name ____________________
DP ID No. I N
BeneficiaryAccountNo.

Central Depository Securities Limited
Depository participant Name ____________________
Target ID No.

Enclosures (Please tick any one box): ○ Client Master List (CML) ○ Transaction cum Holding Statement ○ Cancelled Delivery Instruction Slip (DIS)

3. EXISTING INVESTOR'S FOLIO NUMBER

(If you have an existing folio number with KYC validated, please mention the number here and proceed to section 9. Mode of holding will be as per existing folio number.)

4. GENERAL INFORMATION

APPLICATION FOR ○ Zero Balance Folio ○ Invest Now **^MODE OF HOLDING :** ○ Single ○ Joint (Default) ○ Any one or Survivor

5. FIRST APPLICANT DETAILS

NAME

PAN / PEKRN^ (First Applicant) **PAN / PEKRN^** (Guardian)

Name of Guardian if first applicant is minor

Guardian's Relationship With Minor
○ Father ○ Mother ○ Court Appointed Guardian

Date of Birth of 1st Applicant# D D M M Y Y Y Y

Proof of Date of Birth and Guardian's Relationship with Minor
○ Birth Certificate ○ Passport ○ Others (please specify)

#Date of birth is mandatory for investment in Reliance Retirement Fund and investors are required to provide the date of birth in application form. Refer Instruction No. II - 4. If date of birth is available in KRA records the same shall be updated for this folio / investment. Applications shall be liable for rejection if the date of birth is not mentioned in the application form or not available in KRA records on the date of allotment if KYC is under process.

OCCUPATION^ :** ○ Professional ○ Agriculturist ○ Housewife ○ Retired ○ Government Service/Public Sector ○ Business ○ Forex Dealer ○ Student ○ Private Sector Service ○ Others ____________

STATUS^ : ○ Resident Individual ○ NRI ○ Minor through Guardian

GROSS ANNUAL INCOME DETAILS^** Please tick (✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ 25 Lacs-1 Crore ○ >1 Crore

NET-WORTH^ in ₹** ____(Net worth should not be older than 1 year)____ as on (Date) D D M M Y Y Y Y

Are you a Politically Exposed Person (PEP)**^ ○ Yes ○ No Are you related to a Politically Exposed Person (PEP)**^ ○ Yes ○ No

**In case First Applicant is Minor then details of Guardian will be required.

6. SECOND APPLICANT DETAILS

NAME **PAN / PEKRN^**

OCCUPATION^ : ○ Professional ○ Agriculturist ○ Housewife ○ Retired ○ Government Service/Public Sector ○ Business ○ Forex Dealer ○ Student ○ Private Sector Service ○ Others ____________

STATUS^: ○ NRI ○ Resident Individual

GROSS ANNUAL INCOME DETAILS^ Please tick (✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ 25 Lacs-1 Crore ○ >1 Crore

NET-WORTH^ in ₹ ____(Net worth should not be older than 1 year)____ as on (Date) D D M M Y Y Y Y

Are you a Politically Exposed Person (PEP)^ ○ Yes ○ No Are you related to a Politically Exposed Person (PEP) ○ Yes ○ No

7. THIRD APPLICANT DETAILS

NAME **PAN / PEKRN^**

OCCUPATION^ : ○ Professional ○ Agriculturist ○ Housewife ○ Retired ○ Government Service/Public Sector ○ Business ○ Forex Dealer ○ Student ○ Private Sector Service ○ Others ____________

STATUS^: ○ NRI ○ Resident Individual

GROSS ANNUAL INCOME DETAILS^ Please tick (✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ 25 Lacs-1 Crore ○ >1 Crore

NET-WORTH^ in ₹ ____(Net worth should not be older than 1 year)____ as on (Date) D D M M Y Y Y Y

Are you a Politically Exposed Person (PEP)^ ○ Yes ○ No Are you related to a Politically Exposed Person (PEP) ○ Yes ○ No

^Mandatory for all type of Investors. It is mandatory for investors to be KYC compliant through a Key Registered Agency (KRA) appointed by SEBI prior to investing in Reliance Mutual Fund. Refer instruction no.II.5, 6 & IX

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8. FATCA and CRS DETAILS (Mandatory)

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

Sole/First Applicant/Guardian			Second Applicant			Third Applicant		
Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided. % In case Tax Identification Number is not available, kindly provide its functional equivalent $

Sole/First Applicant/Guardian		Second Applicant		Third Applicant	
Country of Birth		Country of Birth		Country of Birth	
Country of Nationality		Country of Nationality		Country of Nationality	

9. CONTACT DETAILS OF SOLE / FIRST APPLICANT (Refer Instruction No. VI & VIII)

Correspondence Address (P.O. Box is not sufficient) **## Please note that your address details will be updated as per your KYC records with CVL / KRA**

Landmark

City **Pin Code** **State**

Email ID

Mobile + (Country Code) **Tel. No.** STD Code **Office** **Residence**

Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email. Investors providing Email Id would mandatorily receive only E - Statement of Accounts in lieu of physical Statement of Accounts.

10. BANK ACCOUNT DETAILS MANDATORY for Redemption/Dividend/Refunds, if any (Refer Instruction No. III)

Bank Name Mandatory

Account No. Mandatory **A/c. Type (✓)** ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Branch Address **Branch City**

PIN **IFSC Code** For Credit via RTGS **9 Digit MICR Code*** For Credit via NEFT

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

11. INVESTMENT & PAYMENT DETAILS (Separate Application Form is required for investment in each Plan/Option. Multiple cheques not permitted with single application form (Refer instruction no. IV) OTM facility is available to investors who have Invest Easy facility registered with RMF.

Scheme

- ◯ Reliance Retirement Fund - Wealth Creation Scheme
- ◯ Reliance Retirement Fund - Wealth Creation Scheme - Direct Plan
- ◯ Reliance Retirement Fund - Income Generation Scheme
- ◯ Reliance Retirement Fund - Income Generation Scheme - Direct Plan

◯ Growth Plan	Growth Option
◯ Dividend Plan	Dividend Payout Option

(For Product Labeling please refer Ins No. XVII)

Payment Details (Please issue cheque favouring scheme name)

Mode of Payment ◯ OTM Facility (One Time Bank Mandate) ◯ Cheque ◯ DD ◯ Funds Transfer ◯ RTGS / NEFT ◯ Cash$ (Refer Instruction No. XIII)

Investment Amount (Rs.) I DD Charges (if applicable) (Rs.) II Net Amount~ (Rs.) I minus II

Instrument No/Cash Deposit Slip No. Dated D D M M Y Y Y Y Drawn on Bank

Bank Branch City

(^^ Default option if not selected) ~Units will be allotted for the net amount minus the transaction charges if applicable. $Investors are requested to collect the cash deposit slip from the DISC

12. NOMINATION I wish to Nominate ◯ Yes ◯ No (Mandatory if mode of holding is single) (Refer Instruction No.V)

In case of existing investor, nomination details mentioned in the below table will replace the existing details registered in the folio

Nominee Name	Guardian Name (in case Nominee is Minor)	Date of Birth of Minor	Allocation (%)	Sign of Nominee	Sign of Guardian	Signature of Applicants
						1st App.
						2nd App.
						3rd App.

13. POWER OF ATTORNEY (POA) HOLDER DETAILS (Refer Instruction No.II.1)

First Applicant POA Name Mr./Ms./M/s **PAN^**

Second Applicant POA Name Mr./Ms./M/s **PAN^**

Third Applicant POA Name Mr./Ms./M/s **PAN^**

14. I wish to opt for SIP ○ Yes ○ No

(Mandatory if opted for SIP) Type of SIP : ○ Normal SIP ○ Micro SIP

Note : It is mandatory to submit OTM + SIP Enrolment Form incase you have opted for SIP.

15. I wish to opt for SWP ○ Yes ○ No

Frequency: ○ Monthly ○ Quarterly

Enrolment Period From D D M M Y Y Y Y To D D M M Y Y Y Y

Amount ____________________

(Minimum. Rs. 500/- & in multiples of Rs. 100/- thereafter)

Note : Please ensure that the Start date specified for SWP facility should be later than the completion of the lock in period of 5 years. In case the Start date is mentioned prior to the completion of the lock in period then the SWP facility shall automatically start post the completion of the lock in period.

16. I wish to opt for Auto Transfer facility ○ Yes ○ No (Applicable only for investors who have invested in Wealth Creation scheme. Refer Ins No. XIV & XVI)

To Scheme :

○ **Reliance Retirement Fund - Income Generation Scheme**	○ **Growth Plan**	**Growth Option**
○ **Reliance Retirement Fund - Income Generation Scheme - Direct Plan**	○ **Dividend Plan**	**Dividend Payout Option**

Date of Transfer D D M M Y Y

Note : 1) If the investor has opted for Auto transfer facility then existing units of the investor in the Wealth Creation Scheme will be automatically switched to Income Generation Scheme (with nil exit load) at any date as specified by the investor which is within or after the lockin period. In case the Auto transfer option is selected and date is not specified in the application, auto transfer will happen on 5th working day of the following month upon completion of investor's 50 years of age. The balance SIP installments in Wealth Creation scheme will continue to get processed in Income Generation Scheme once the Auto transfer facility in executed. 2) This facility is not applicable for investors opting to invest in DEMAT mode.

17. I wish to opt for Auto SWP facility ○ Yes ○ No (Not applicable for investors opting to invest in DEMAT mode. Refer Ins No. XV & XVI)

Frequency: ○ Monthly ○ Quarterly ○ Annual **SWP Date :** ○ 1 ○ 8 ○ 15 ○ 22

Start Date : Refer Note below **End Date :** MM / YY (optional)

Amount ____________________ (Minimum Amount for Auto SWP : A) Monthly Frequency: Rs. 500 & in multiples of Re 500 thereafter. B) Quarterly Frequency: Rs. 1,500 & in multiples of Re.500 thereafter. C) Annual Frequency: Rs.5,000 & in multiples of Re.500 thereafter)

Note : Auto SWP shall start from the 1st/8th/15th/22nd transaction day of the month/quarter/year (as specified by the investor) followed by the month in which the investor completes 60 years or age after completion of 5 year lock in period, whichever is later.

18. I WISH TO APPLY FOR TRANSACT ONLINE Yes ○ No ○ **OR** I WISH TO APPLY FOR INVEST EASY FOR INDIVIDUALS Yes ○ No ○

(Mandatory Enclosure : ONE TIME BANK MANDATE REGISTRATION FORM)

19. DECLARATION AND SIGNATURE

I/We would like to invest in Reliance Retirement Fund ____________________ subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services including but not limited to Reliance Any Time Money Card. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Capital Asset Management Limited (RCAM) liability. I understand that the RCAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RCAM can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Further, I agree that the transaction charge (if applicable) shall be deducted from the subscription amount and the said charges shall be paid to the distributors. I/We hereby confirm that I /We are not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada.

☐ I confirm that I am resident of India.

☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

☐ I have read and understood Instruction no. XIII and hereby agree to abide by the same. I hereby declare that the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962 and the information provided by me /us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete.

✗ First / Sole Applicant / Guardian	✗ Second Applicant	✗ Third Applicant

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ACKNOWLEDGEMENT

App. No.

Received from ______________________________

An application for allotment of Units under			
	○ Reliance Retirement Fund - Wealth Creation Scheme ○ Reliance Retirement Fund - Wealth Creation Scheme - Direct Plan	○ Growth Plan	Growth Option
	○ Reliance Retirement Fund - Income Generation Scheme ○ Reliance Retirement Fund - Income Generation Scheme - Direct Plan	○ Dividend Plan	Dividend Payout Option

Cheque / DD No.____________ Dated ____/______/______ Rs. __________ Drawn on Bank ________________

Facilities Opted: ☐ SIP ☐ SWP ☐ Auto Transfer ☐ Auto SWP

Signature, Date & Stamp